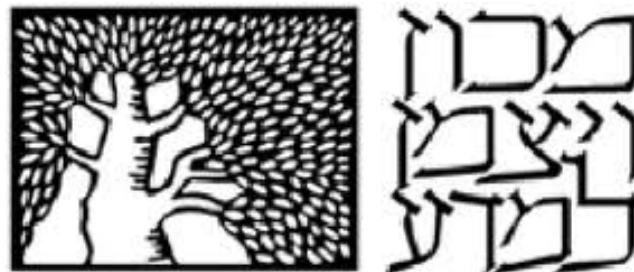


# Topological phenomena in periodically driven systems: disorder and interactions

**Erez Berg**

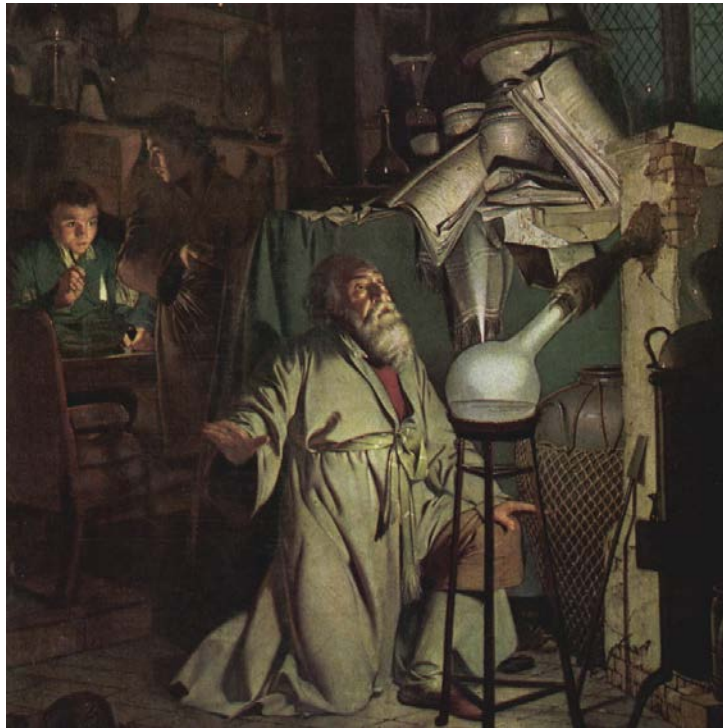
**Mark Rudner (Copenhagen), Netanel Lindner (Technion),  
Paraj Titum and Gil Refael (Caltech), Michael Levin  
(Chicago), Takuya Kitagawa and Eugene Demler (Harvard)**



Weizmann Institute of Science

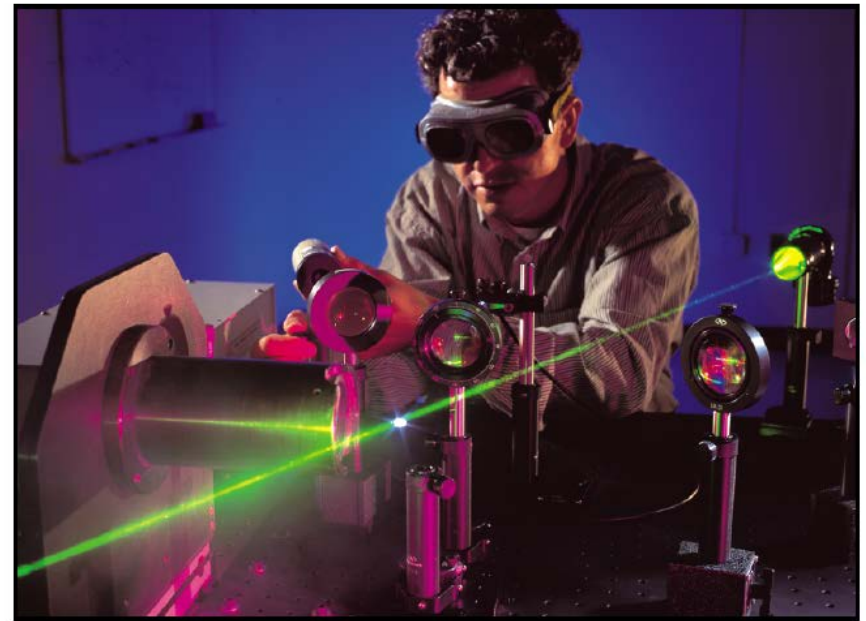
# New types of non-equilibrium phenomena in periodically-driven systems?

~1200



$\text{Pb} \rightarrow \text{Au}$

2015



$\text{Si} \rightarrow \text{HgTe}?$   
 $\rightarrow \dots ?$

# Outline

- **Introduction:** Floquet, Bloch, Floquet-Bloch
- **Disorder** and the Anomalous Floquet-Anderson Insulator (AFAI)
- **Interactions** and quasi-steady states

# Floquet states and the quasi-energy

$$i\frac{d}{dt}|\psi\rangle = H(t)|\psi\rangle; \quad H(t+T) = H(t)$$

“Stroboscopic” evolution operator:

$$U(T) = \mathcal{T}e^{-i\int_0^T H(t)dt}$$

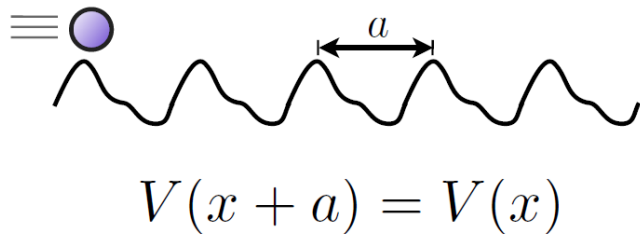
Diagonalize:

$$U(T)|\psi_n\rangle = e^{-i\varepsilon_n T}|\psi_n\rangle$$

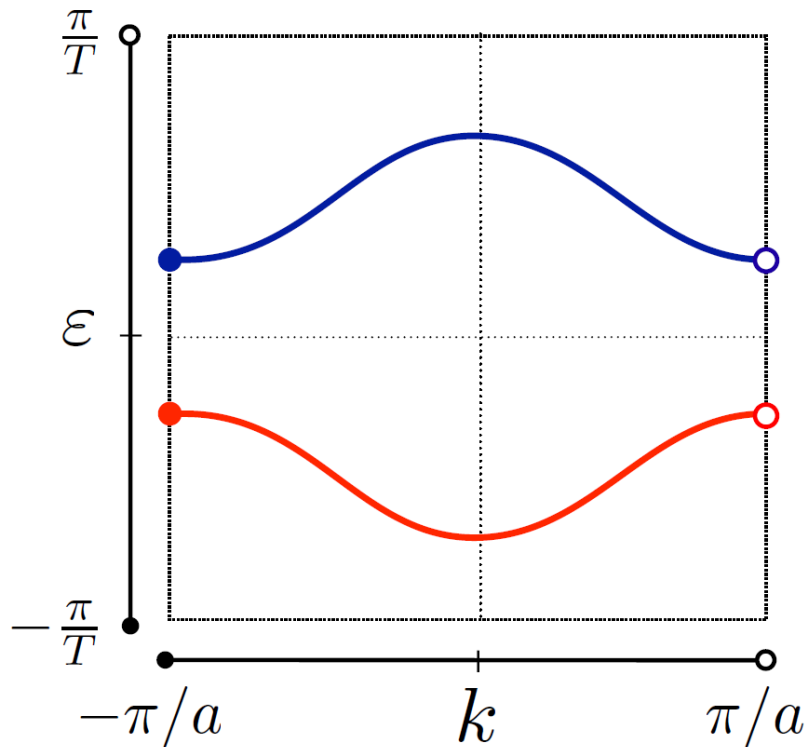
Eigenvalue invariant under  $\varepsilon_n \rightarrow \varepsilon_n + 2\pi N/T$ : quasi-energy lives on a circle

# Floquet-Bloch Bands

- On a lattice: Floquet-Bloch bands, similar to static systems:



- Suggests topological phenomena analogous to those found in static systems.



T. Kitagawa, EB, M. Rudner, E. Demler PRB (2010)

## Theoretical proposals:

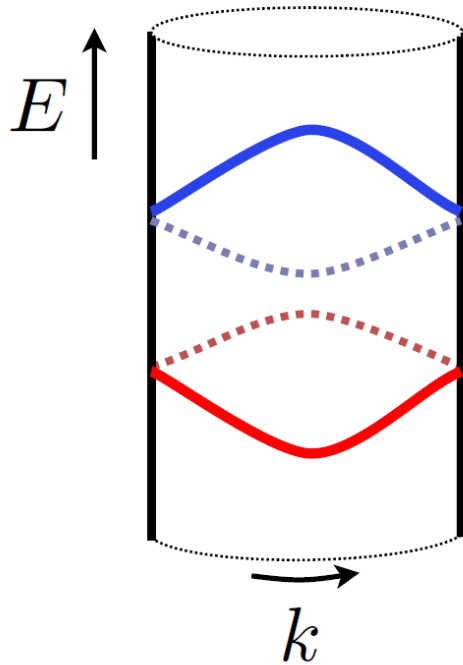
Aoki, Oka, Lindner, Galitsky, Refael, Gu, Arovas, Fertig, Auerbach, Kitagawa, Brataas, Fu, Demler, Kundu, Seradjeh,...

## Experiments:

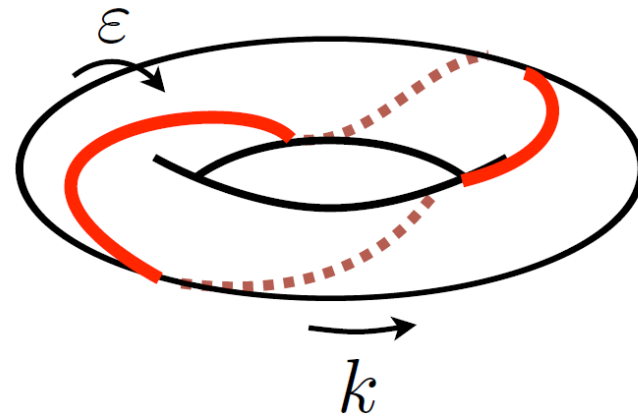
Wang et al. (2013): Irradiated Bi<sub>2</sub>Se<sub>3</sub> surface  
Jotzu et al. (2014): Cold atoms: Floquet realization of the Haldane model

# New topological configurations possible in driven systems

Normal band structure: cylinder



Quasi-band structure: torus



# Quasi-energy winding related to quantized adiabatic transport

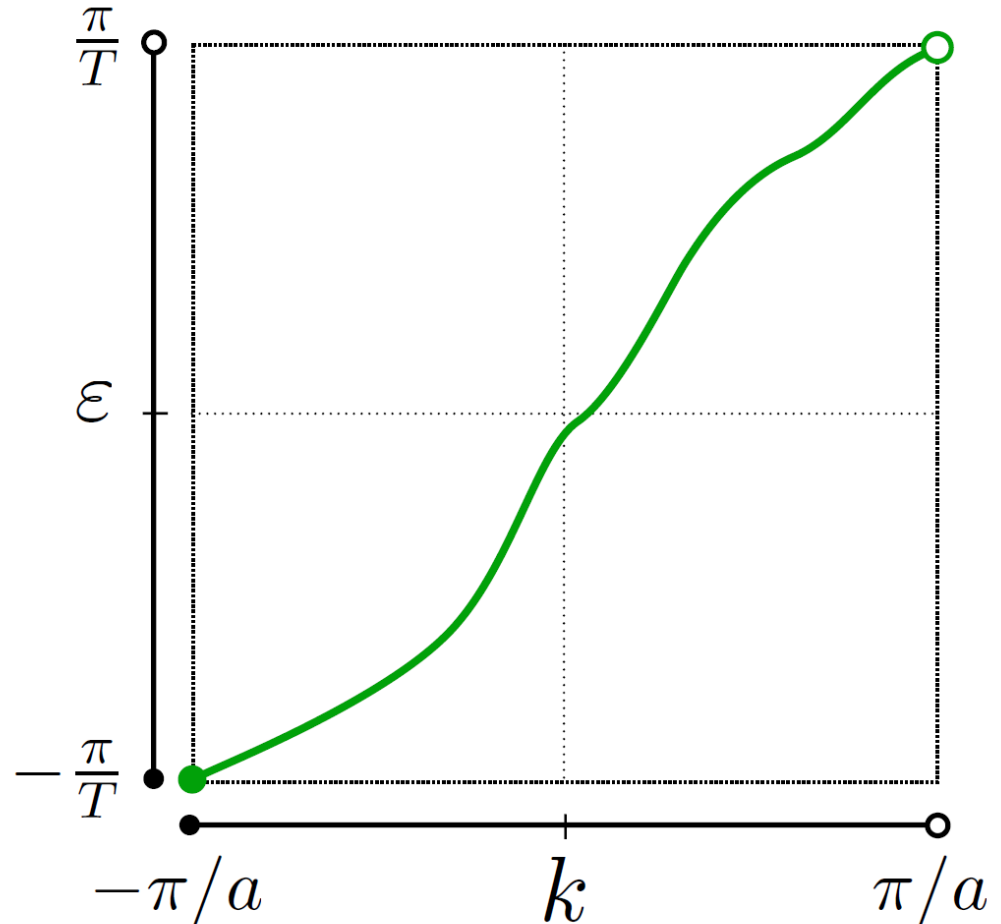
Average group velocity quantized

$$\bar{v}_g = \overline{\frac{d\varepsilon_k}{dk}} = a/T$$

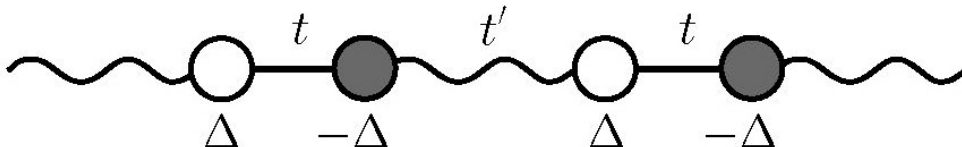
Average displacement:

$$\overline{\Delta x} = \bar{v}_g T = a$$

shift by one unit cell



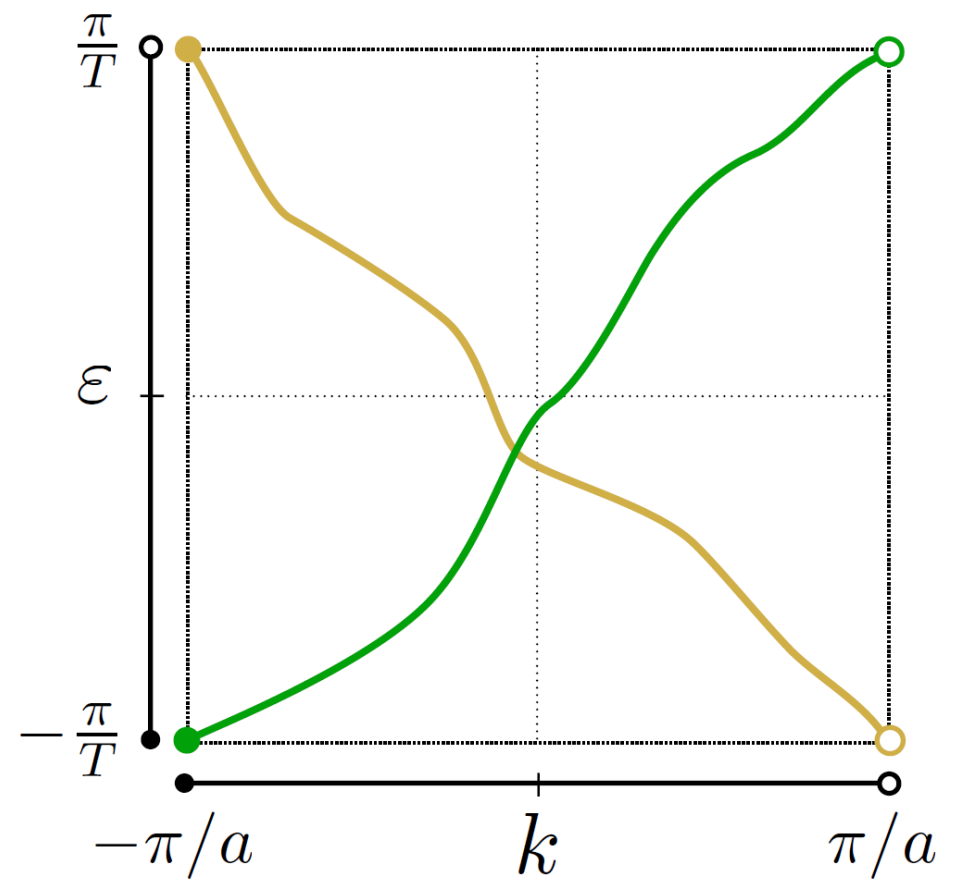
Adiabatic pump  
(Thouless 83')



# Counter-propagating modes decouple in adiabatic limit

Winding number

$$W = \oint \frac{dk}{2\pi} \text{Tr} [U^{-1} \partial_k U]$$

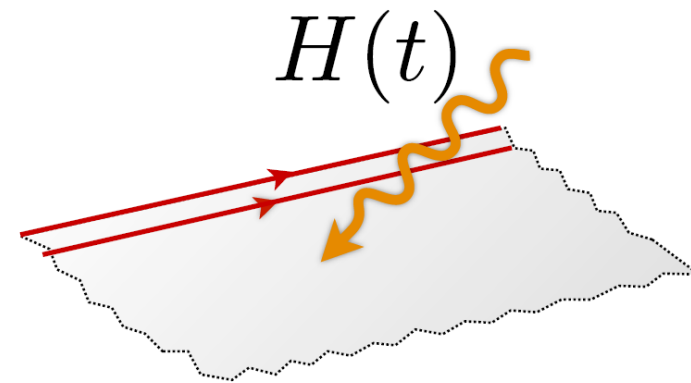
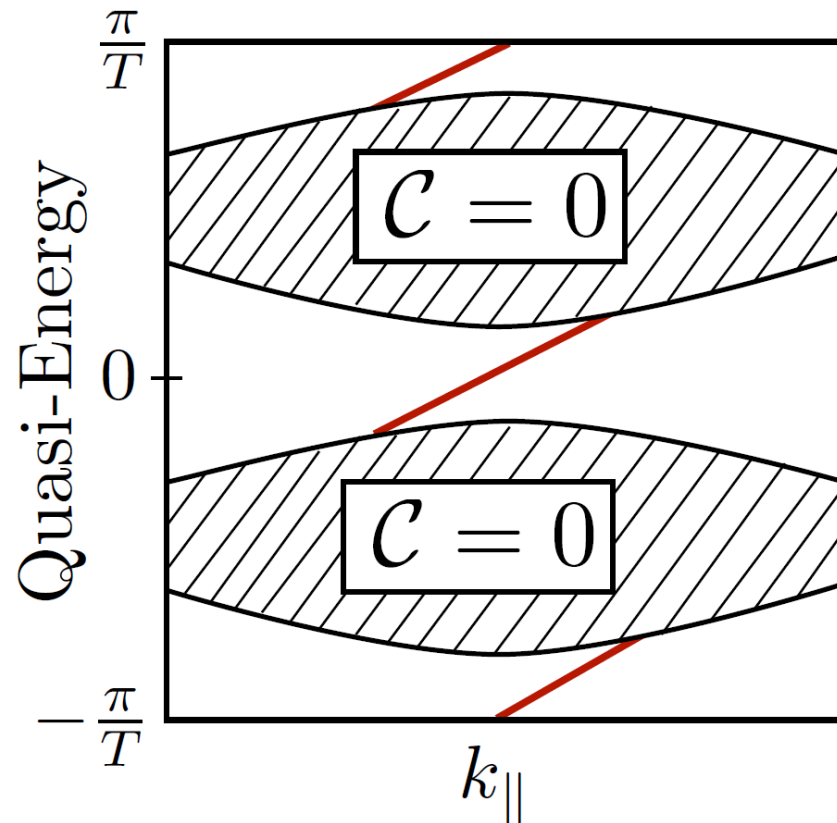


Note: net winding number of all bands must be zero



# Two Dimensions

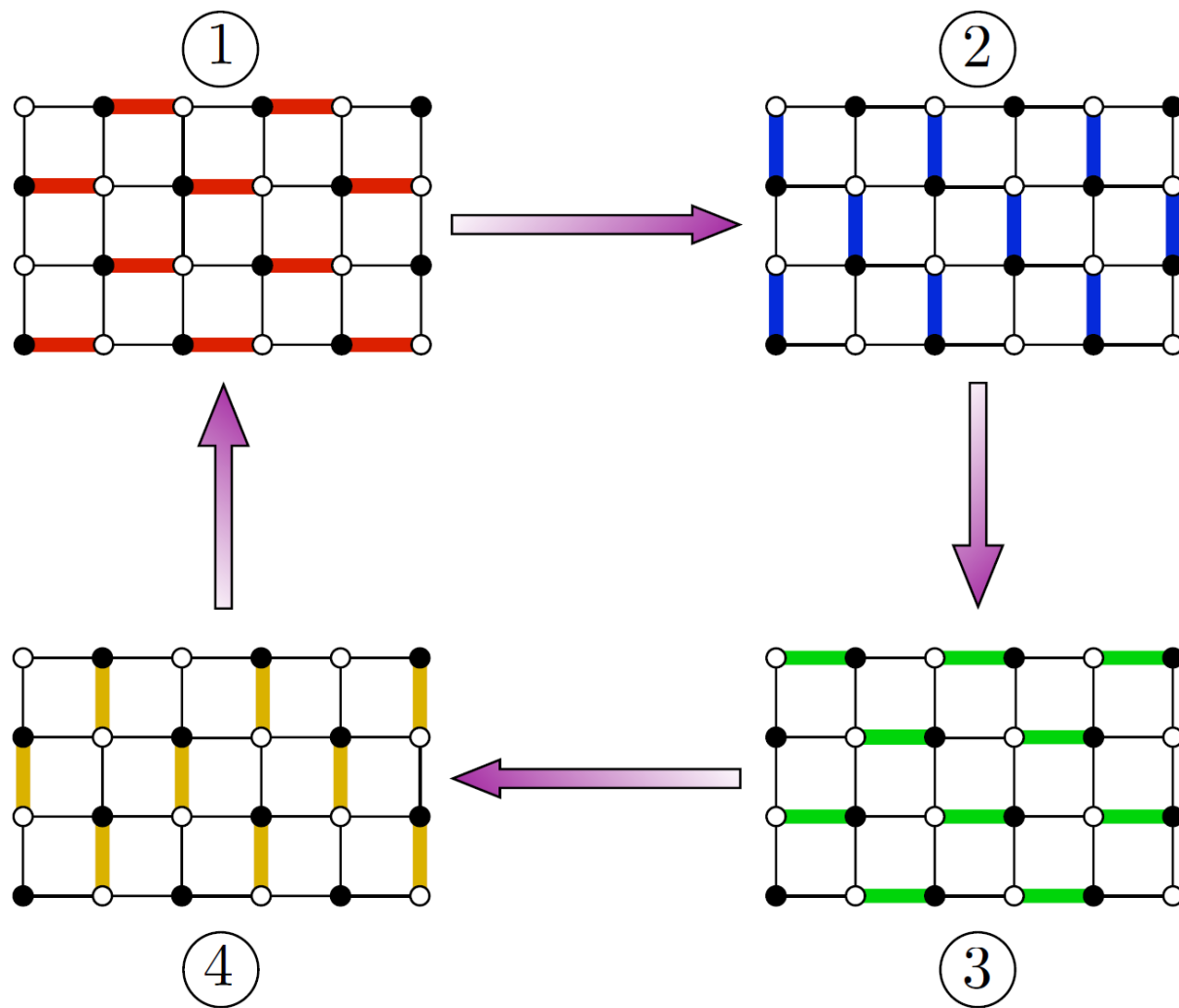
Driven 2D systems may support chiral edge modes even when all Chern numbers are zero!



Chiral edge modes  
for  $\mathcal{C} = 0$  bands

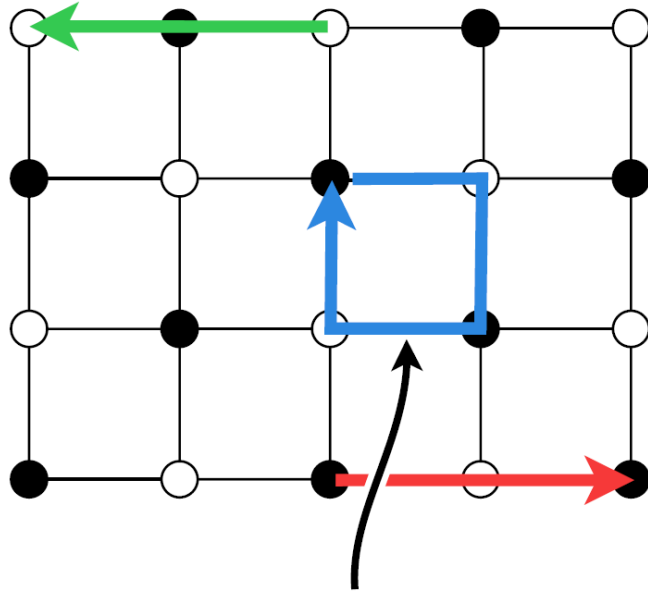
T. Kitagawa, EB, M. Rudner, and E. Demler PRB (2010)  
M. Rudner, N. Lindner, EB, and M. Levin, PRX (2013)

# New phase illustrated by model with modulated hoppings

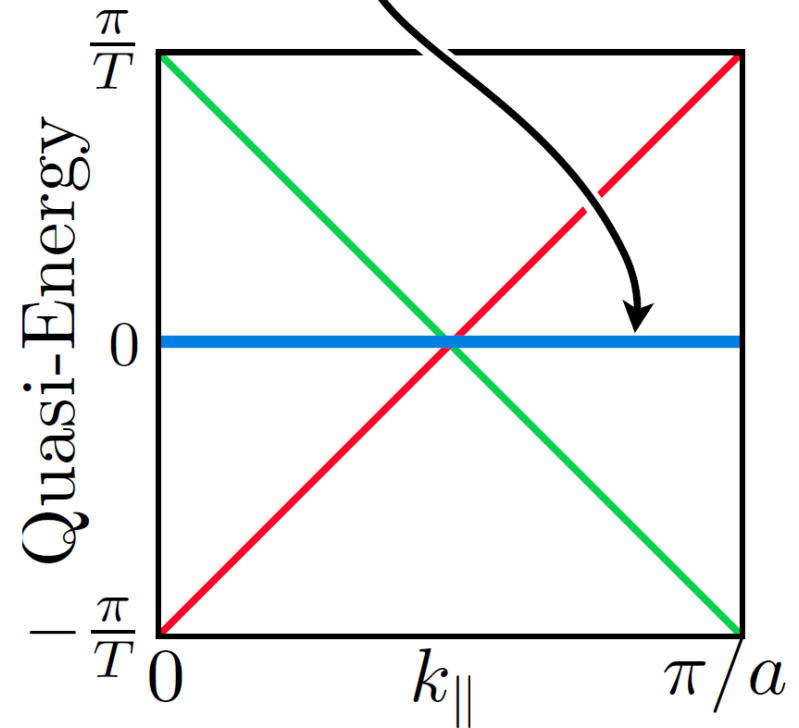


Bulk evolution trivial, chiral modes propagate along edges

Bulk Floquet operator is trivial:  $U(T) = \mathbb{1}$



Particle returns to initial position after cycle

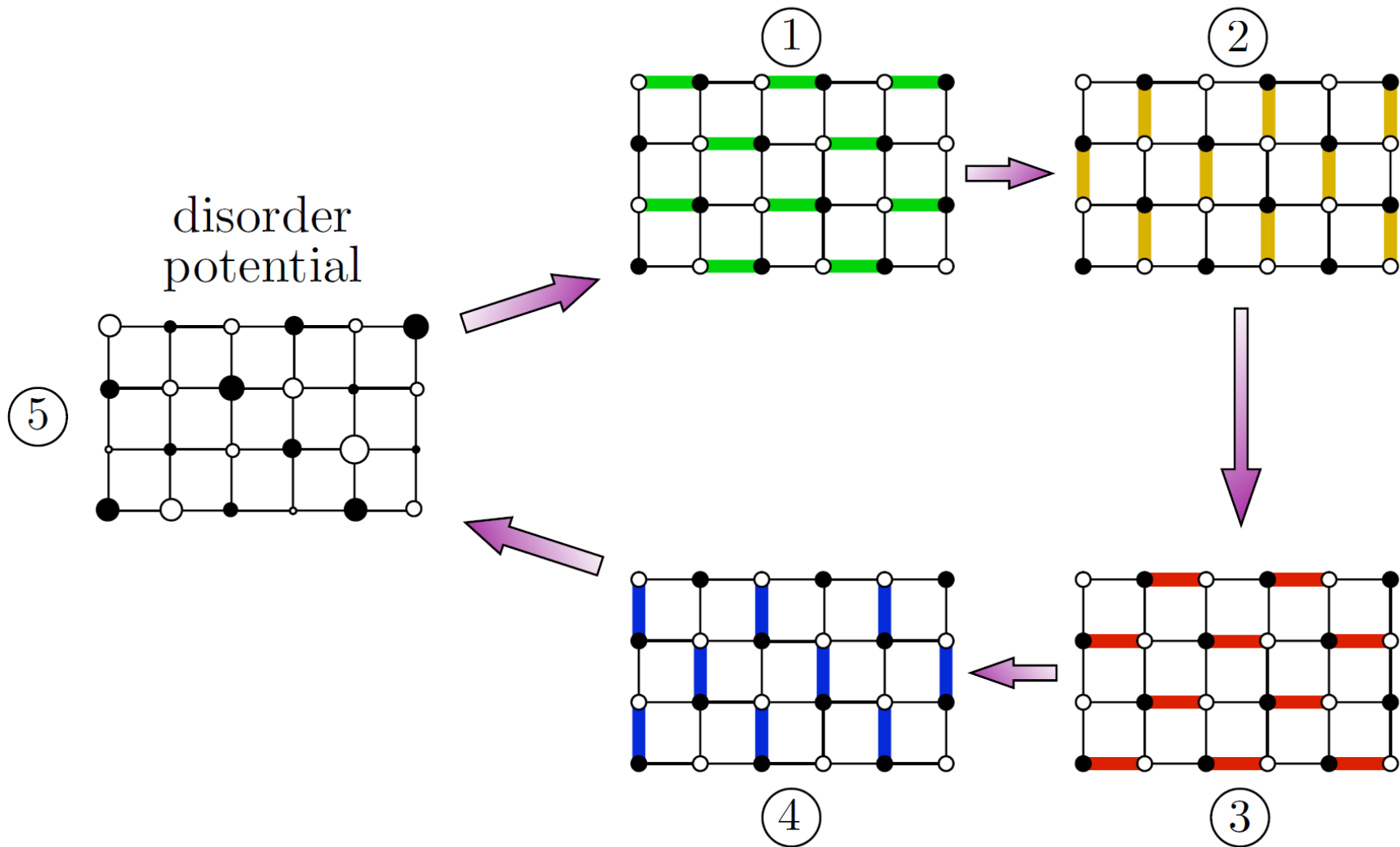


“Hopping pulse” strength  $\frac{JT}{4} = \frac{\pi}{2}$

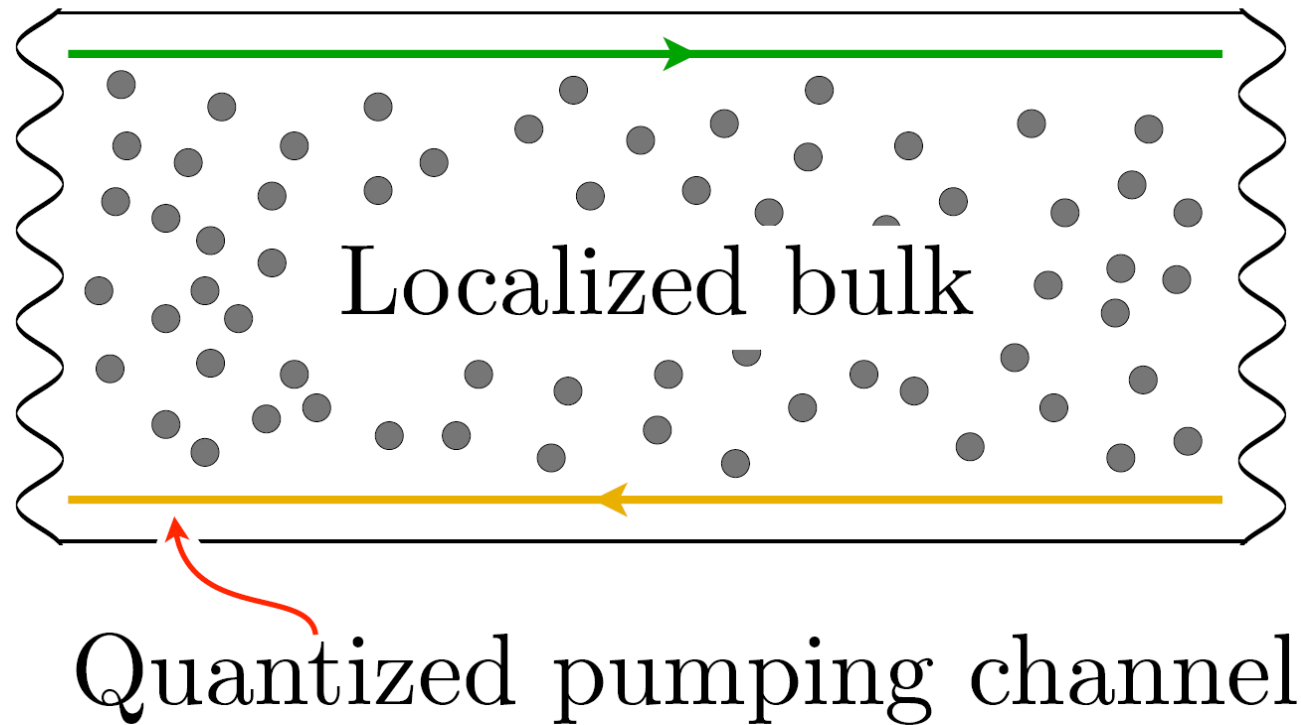
# Outline

- **Review:** Floquet, Bloch, Floquet-Bloch
- **Disorder** and the Anomalous Floquet-Anderson Insulator (AFAI)
- **Interactions** and quasi-steady states

# Disorder localizes all bulk states

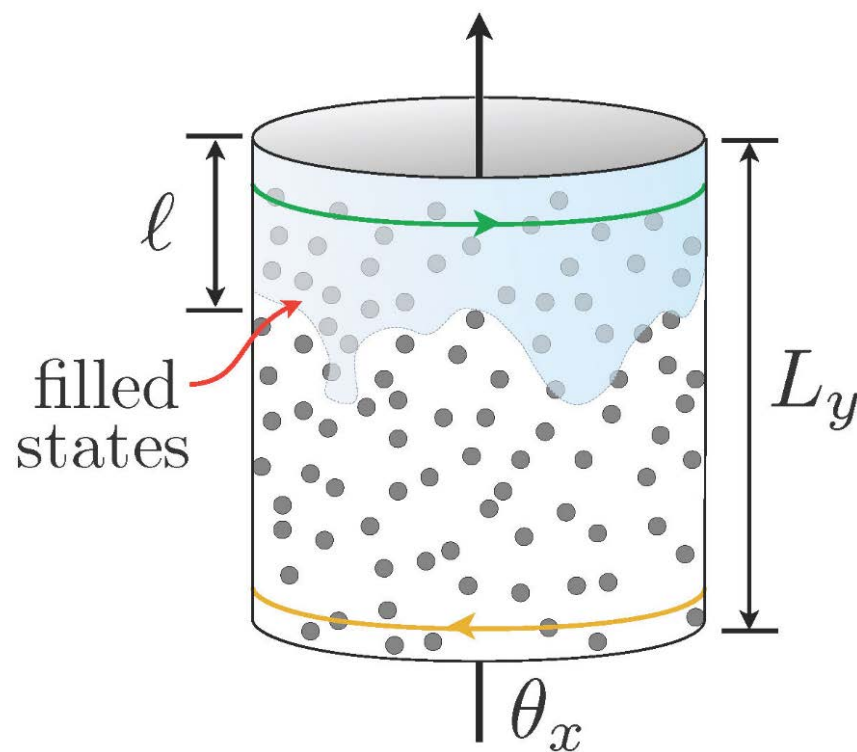
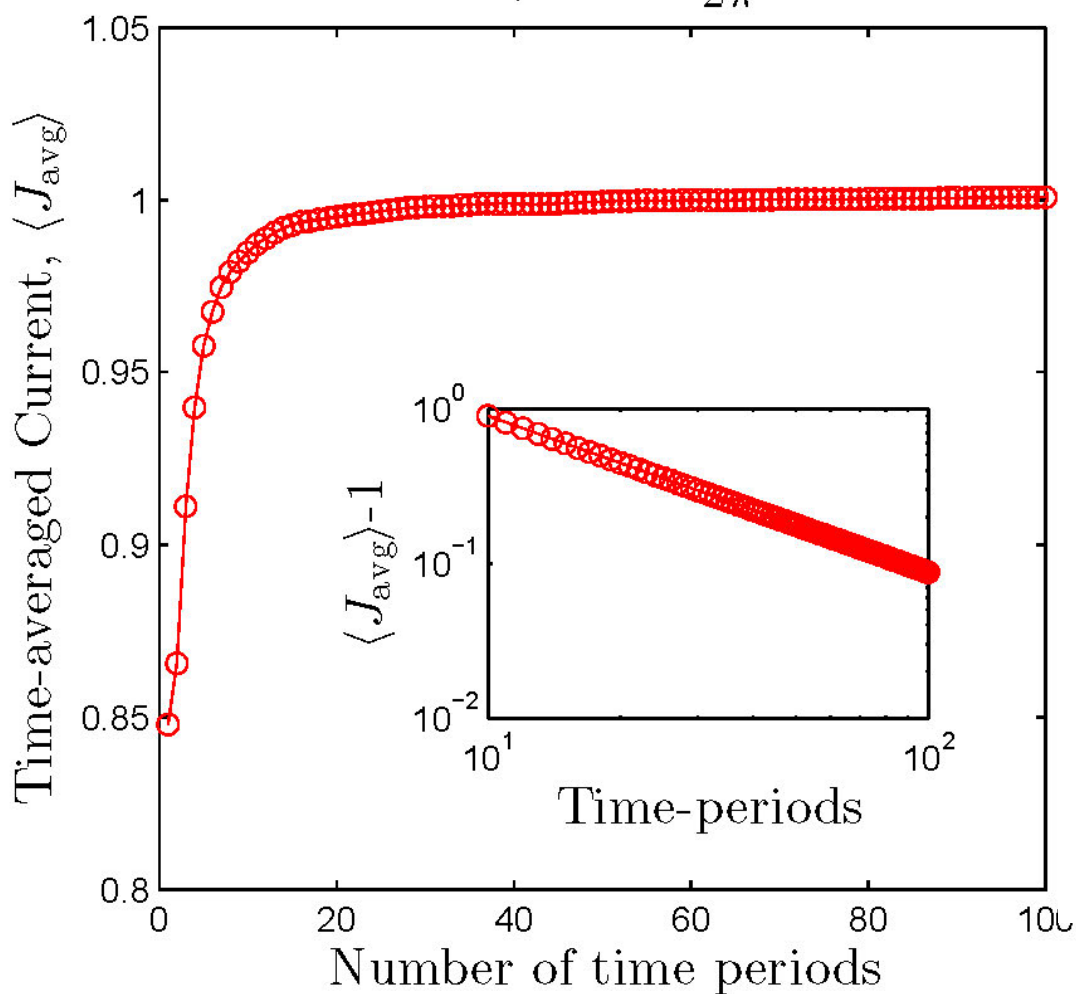


# Anomalous Floquet-Anderson Insulator: fully localized bulk with propagating chiral edge states



# Quantized pumping current in the AFAI

$$V_r/\Omega = \frac{8}{2\pi}$$

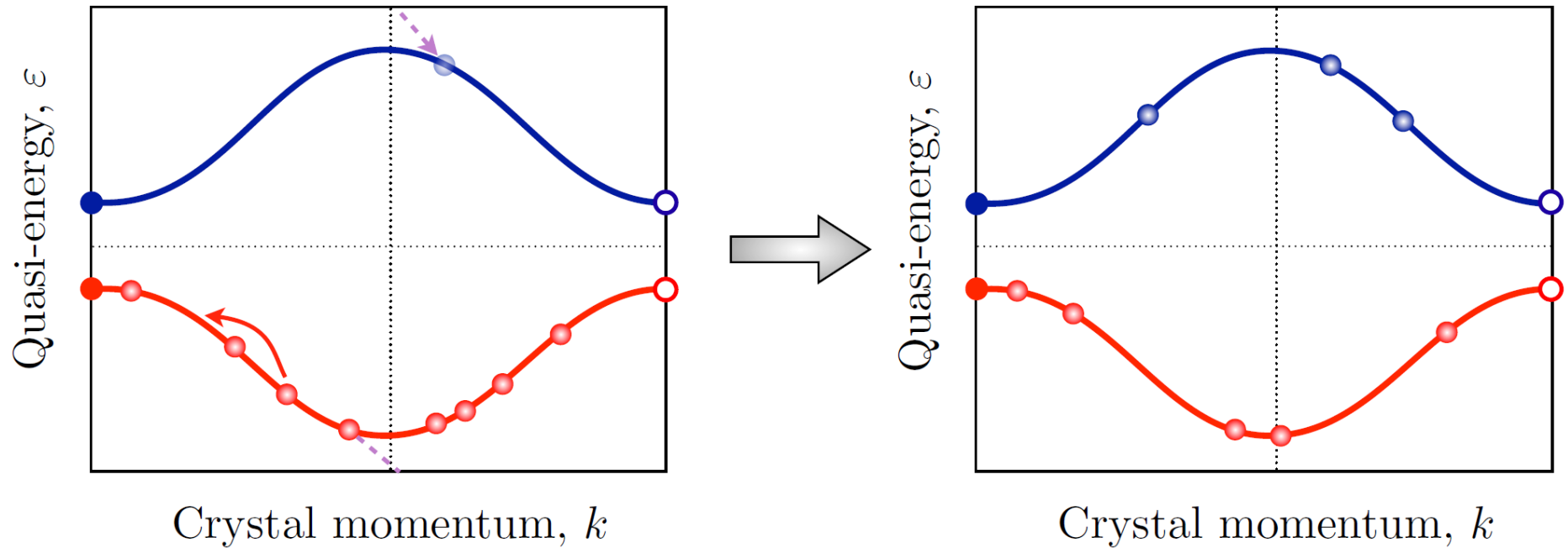


# Outline

- **Review:** Floquet, Bloch, Floquet-Bloch
- **Disorder** and the Anomalous Floquet-Anderson Insulator (AFAI)
- **Interactions** and quasi-steady states



At long times, driven interacting (closed) system generically heats to infinite temperature



See for example (plus others):

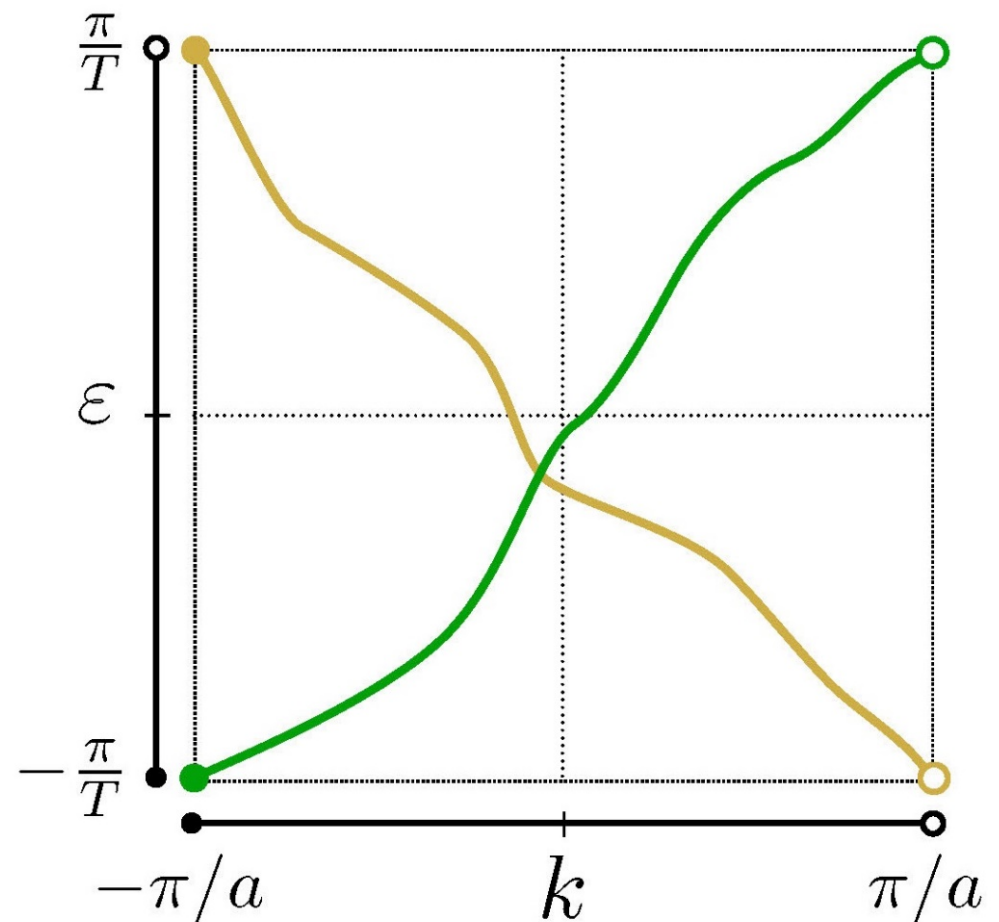
A. Lazarides, A. Das, and R. Moessner, Phys. Rev. Lett. **112**, 150401 (2014).

L. D'Alessio, M. Rigol, arXiv:1402.5141 (2014).

P. Ponte, A. Chandran, Z. Papić, and D. A. Abanin, arXiv:1403.6480 (2014).

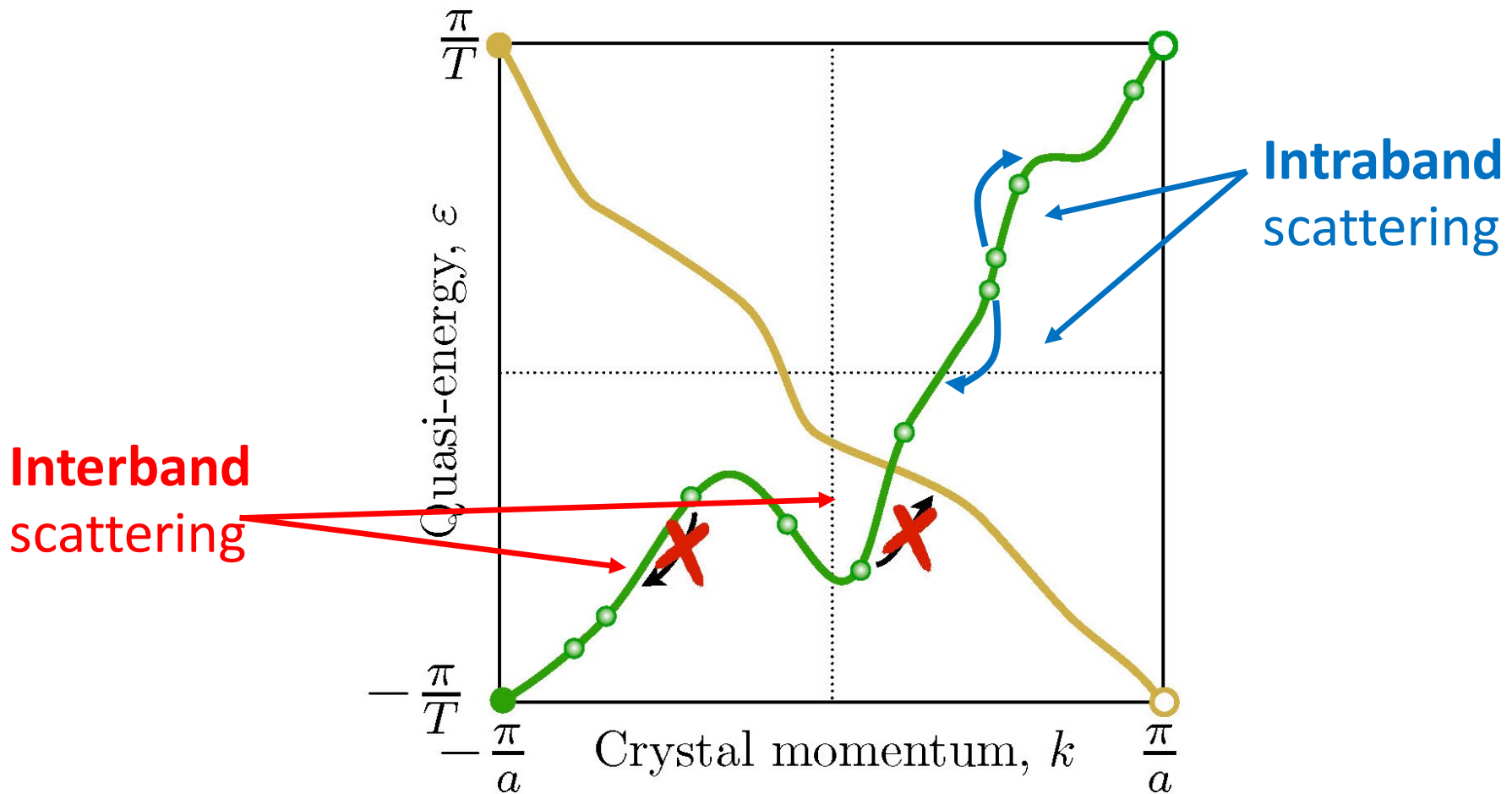
# Quasi energy winding

- In Thouless' pump, the two counter propagating bands decouple in the adiabatic limit:

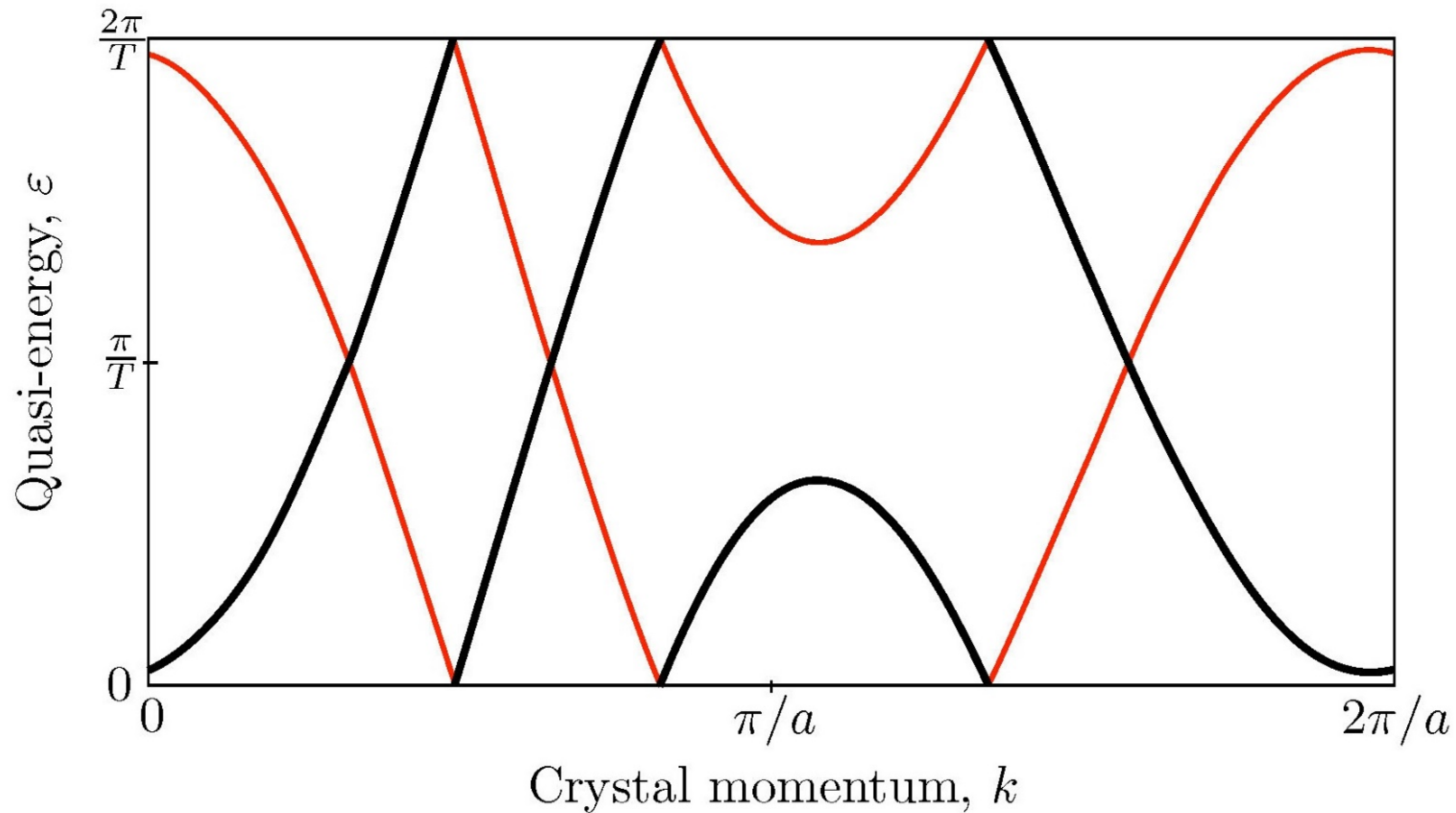


# Chiral “thermalization”

- If **interband** scattering is suppressed, particles can “thermalize” only within one of the chiral bands

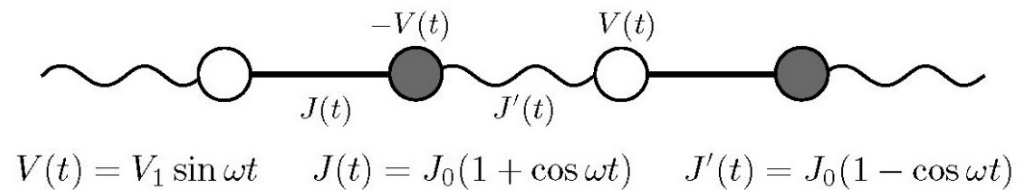


# Floquet system with non-trivial winding



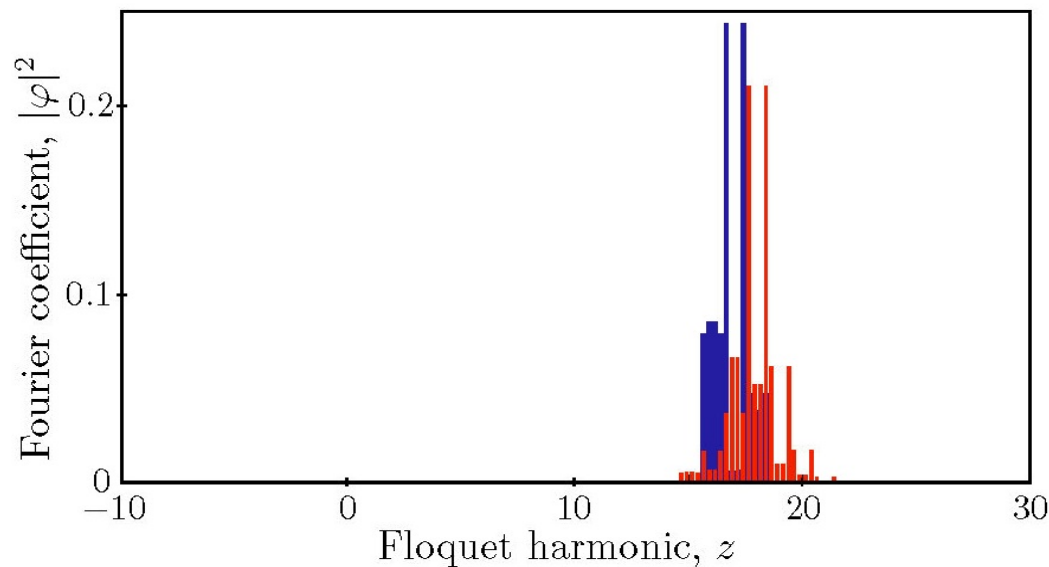
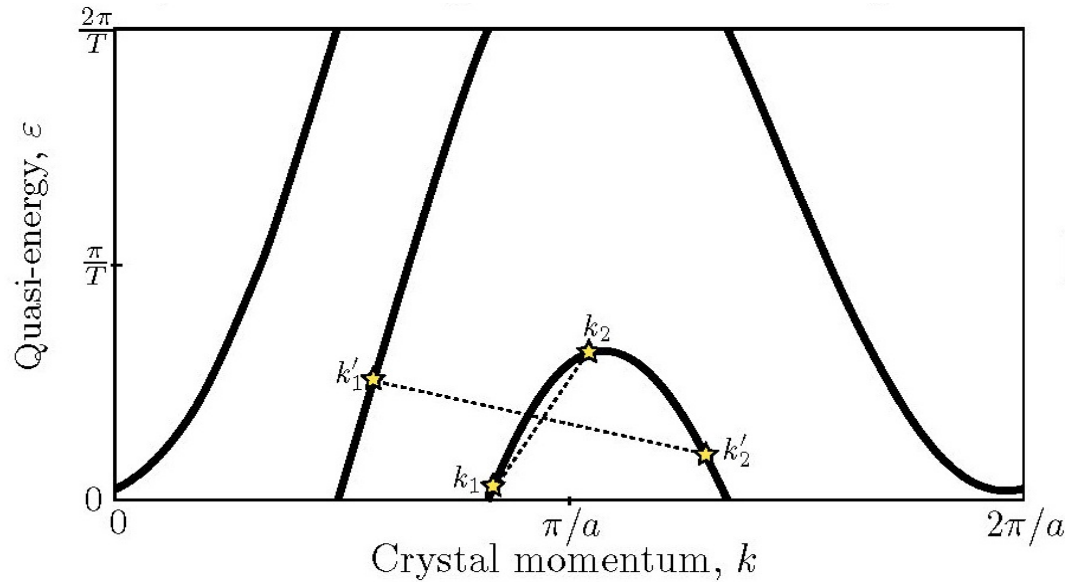
$$\frac{V_1}{\hbar\omega} = 8.2$$

$$\frac{J_0}{\hbar\omega} = 4.1$$



# Intraband scattering

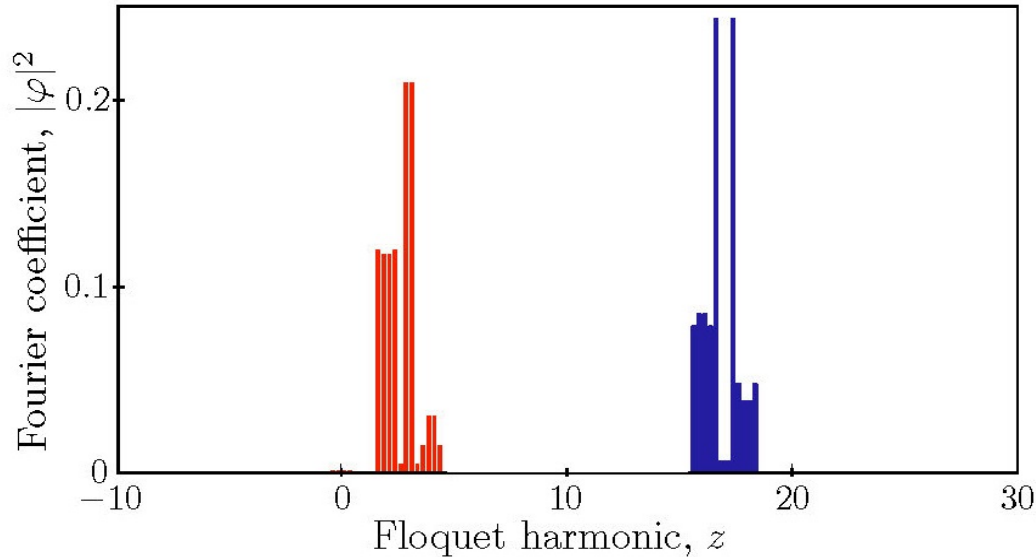
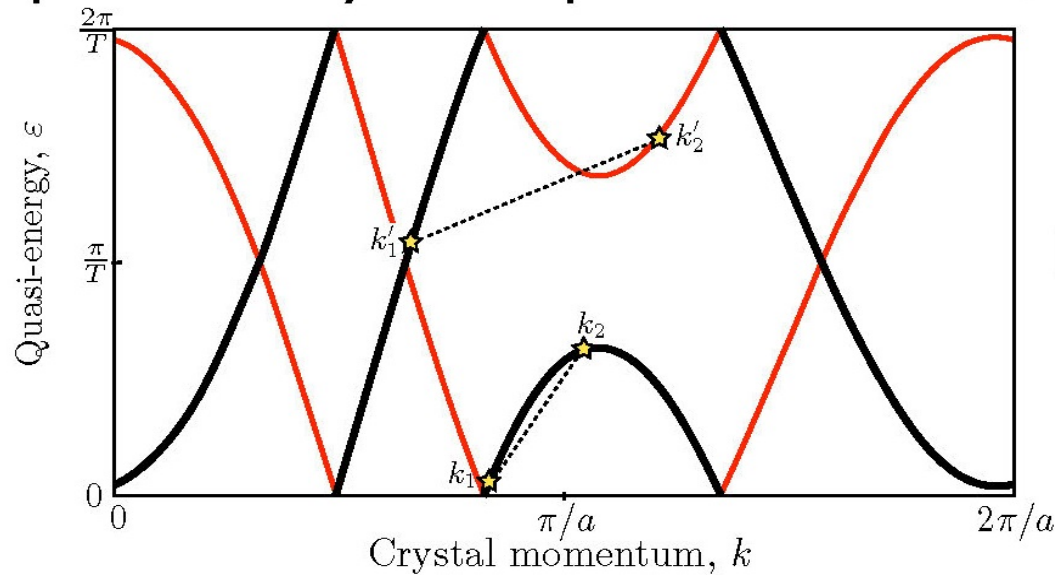
Two-particle Floquet state:  $|\psi(t)\rangle = e^{-i\epsilon t} \sum_{z=-\infty}^{\infty} e^{iz\omega t} |\varphi_z\rangle$



**Significant  
overlap**

# Interband scattering

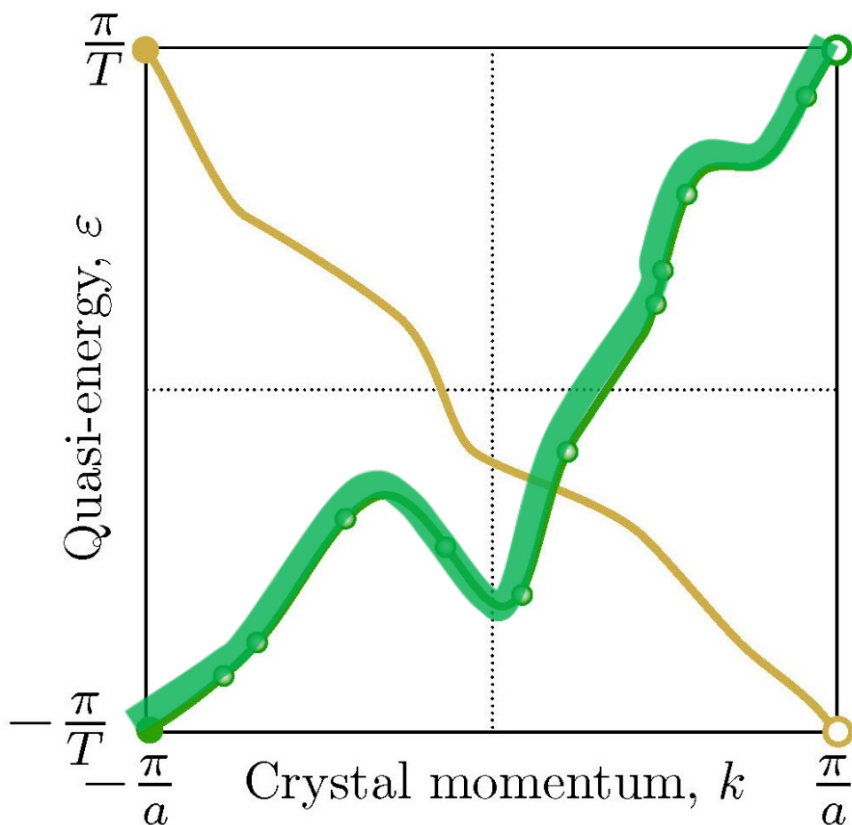
Two-particle Floquet state:  $|\psi(t)\rangle = e^{-i\epsilon t} \sum_{z=-\infty}^{\infty} e^{iz\omega t} |\varphi_z\rangle$



**Overlap  
exponentially  
suppressed**

# Chiral quasi-steady state

- At intermediate times, a chiral state emerges exhibiting quantized pumping current:



- Initial current:  
arbitrary
- Intermediate times:

$$t_{\text{intra}} < t < t_{\text{inter}}$$

$$\text{current} = \frac{1}{T} \times \text{density}$$

- Current decays for  
 $t > t_{\text{inter}}$

# Summary

Periodically driven systems can host a variety of topological phenomena, with no analogues in static systems.

- Chiral edge states with no Chern numbers
- **Disorder:** chiral edge states with fully localized bulk, non-adiabatic quantized charge pumping
- **Interactions:** in closed systems, driving generically leads to indefinite heating...  
...But unusual long-lived quasi-steady states are possible

Thank you.

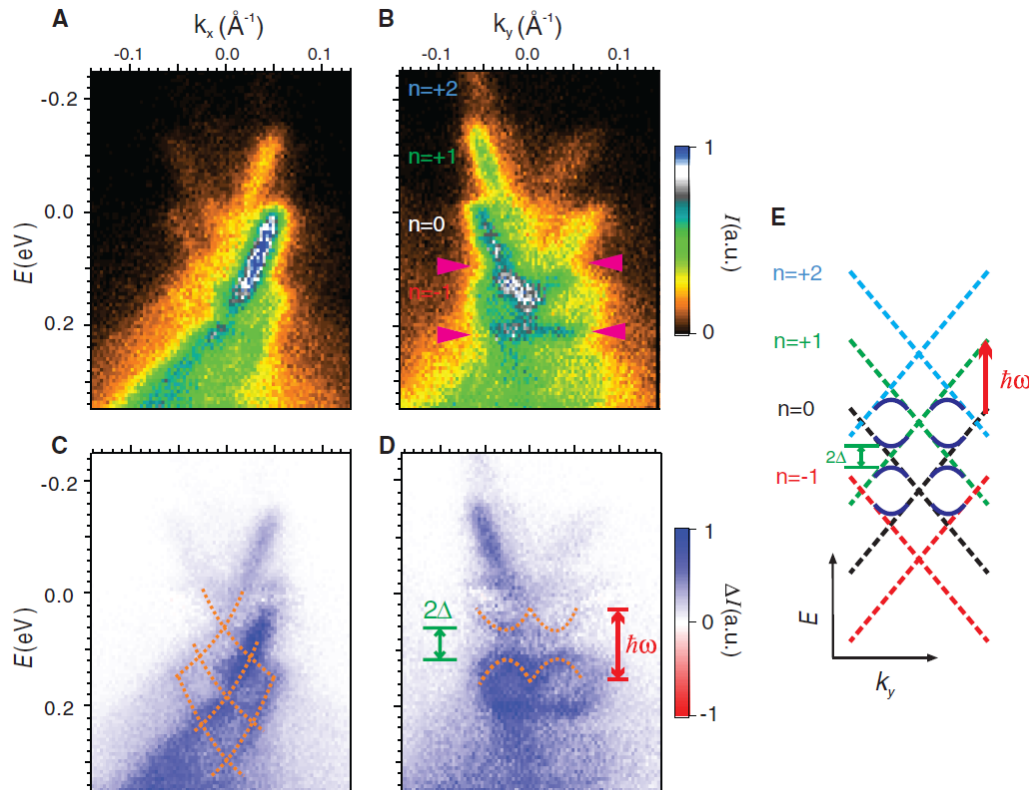


# Experiments

## Observation of Floquet-Bloch States on the Surface of a Topological Insulator

Y. H. Wang,\* H. Steinberg, P. Jarillo-Herrero, N. Gedik†

25 OCTOBER 2013 VOL 342 SCIENCE www.sciencemag.org



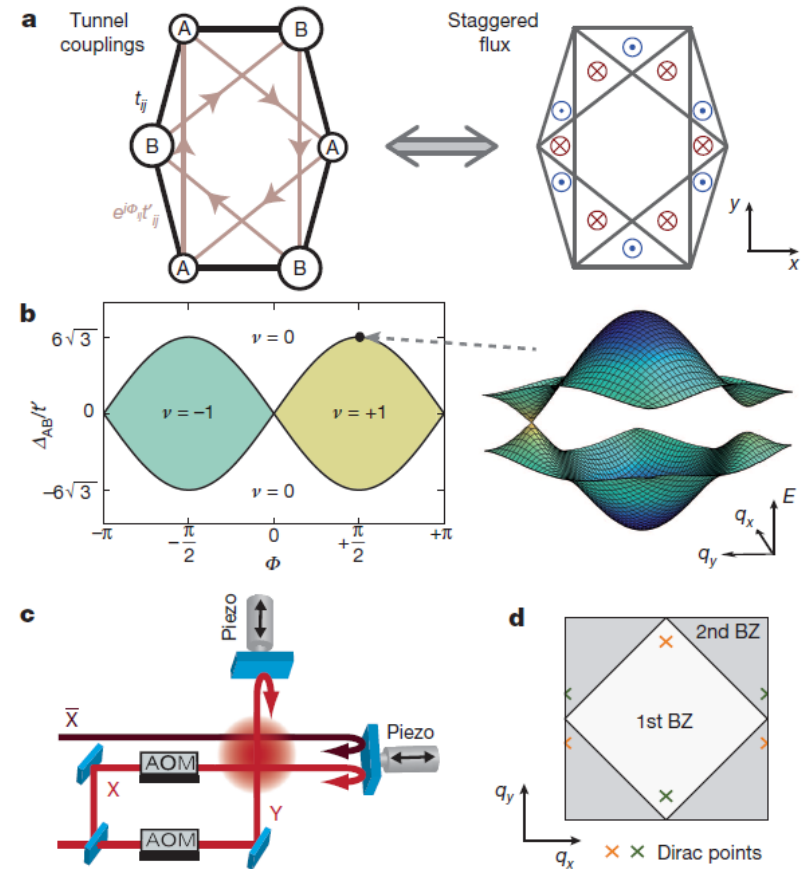
## LETTER

doi:10.1038/nature13915

## Experimental realization of the topological Haldane model with ultracold fermions

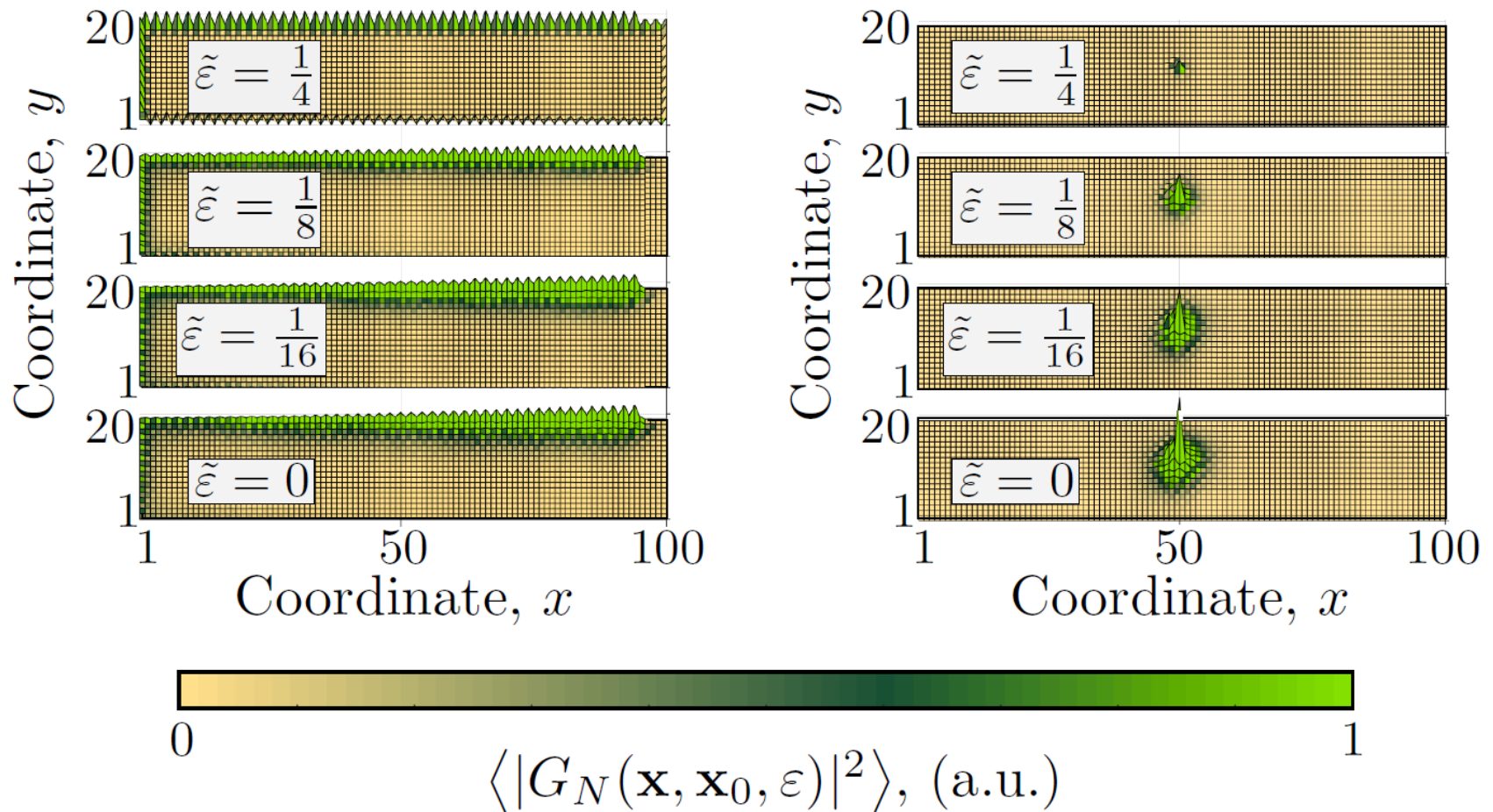
Gregor Jotzu<sup>1</sup>, Michael Messer<sup>1</sup>, Rémi Desbuquois<sup>1</sup>, Martin Lebrat<sup>1</sup>, Thomas Uehlinger<sup>1</sup>, Daniel Greif<sup>1</sup> & Tilman Esslinger<sup>1</sup>

13 NOVEMBER 2014 | VOL 515 | NATURE | 237



# Numerical Simulations

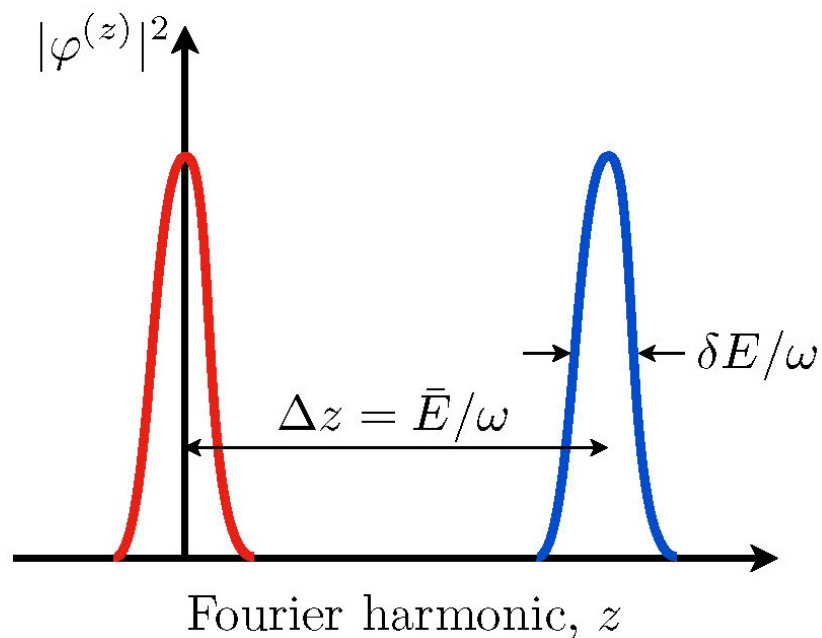
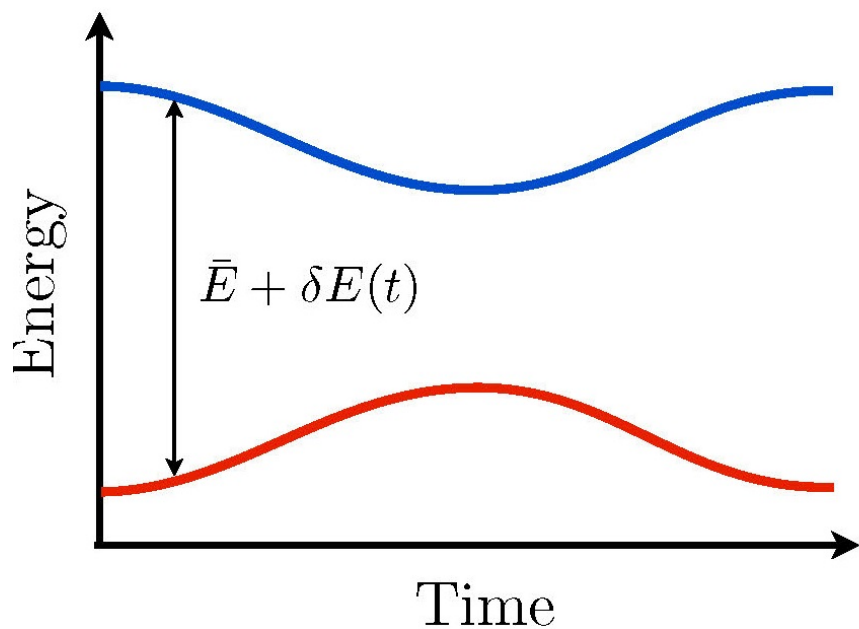
Green's function at different quasi-energies:



# Frequency space analysis

- Matrix element for scattering controlled by the overlap of Fourier components of the scattering Floquet states

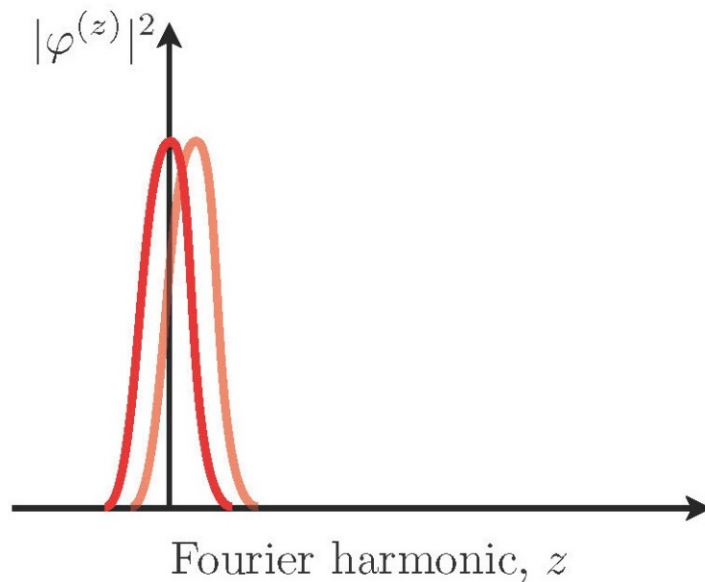
$$|\psi(t)\rangle = e^{i\epsilon t} \sum_{z=-\infty}^{\infty} e^{iz\omega t} |\varphi_z\rangle$$



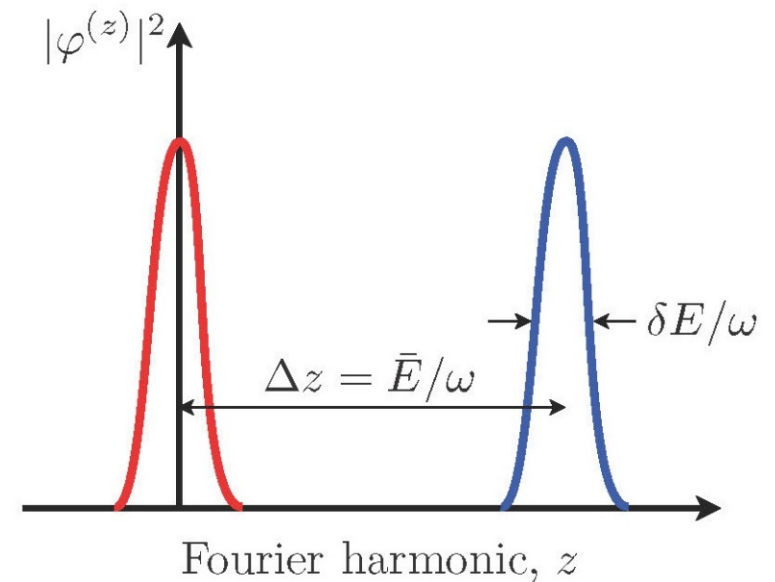
# Frequency space analysis

- Matrix element controlled by the overlap of Fourier components of the scattering Floquet states

## Intraband scattering

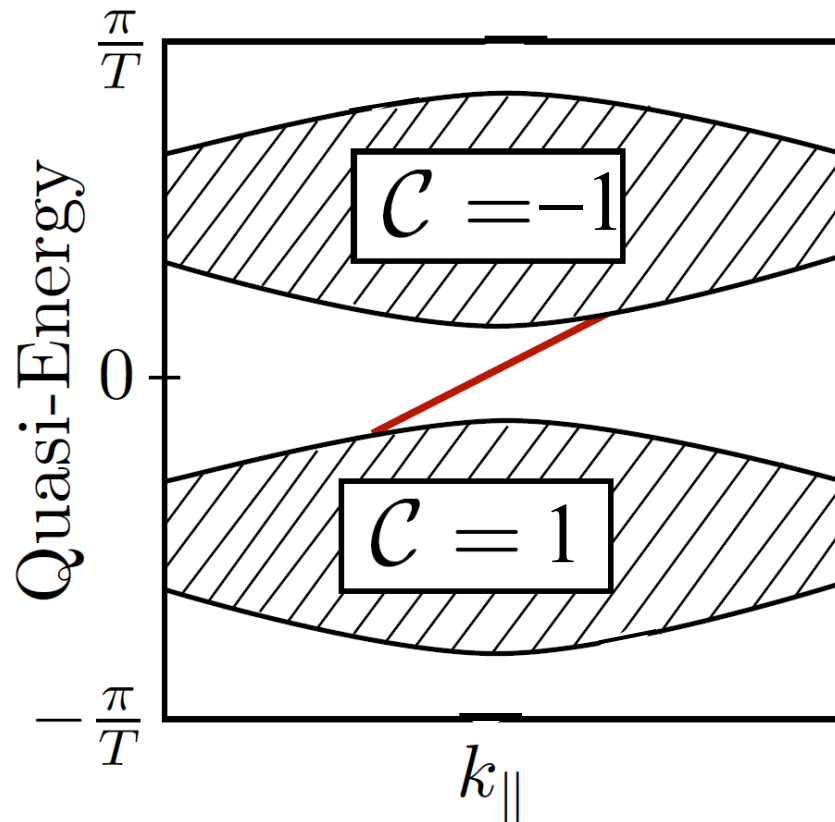


## Interband scattering



# Two Dimensions: Chern Bands

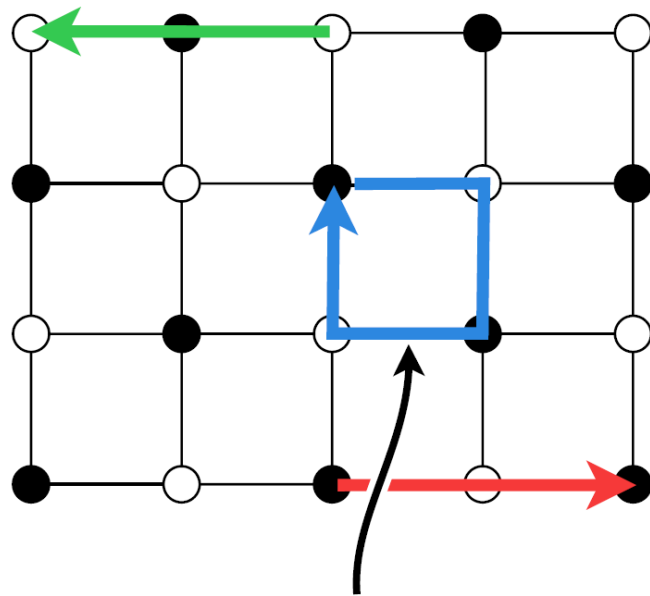
$$C = \frac{1}{\pi} \int d^2k \operatorname{Im} \langle \partial_{k_x} \psi_{n\mathbf{k}} | \partial_{k_y} \psi_{n\mathbf{k}} \rangle$$



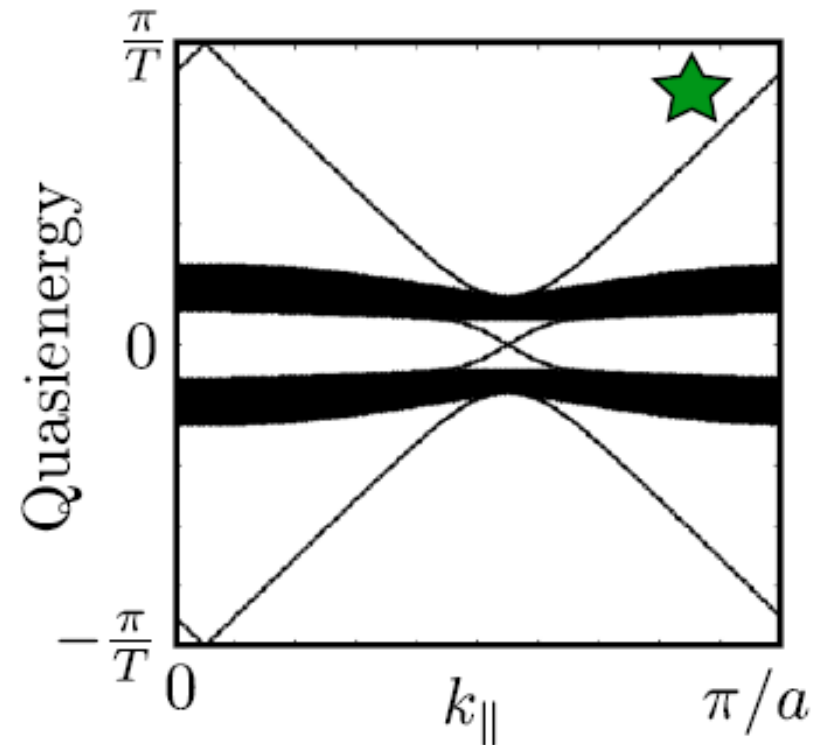
Chern numbers of bulk bands related to chiral edge states

Bands with  $C \neq 0$  cannot be fully localized in the presence of disorder

Bulk evolution trivial, chiral modes propagate along edges

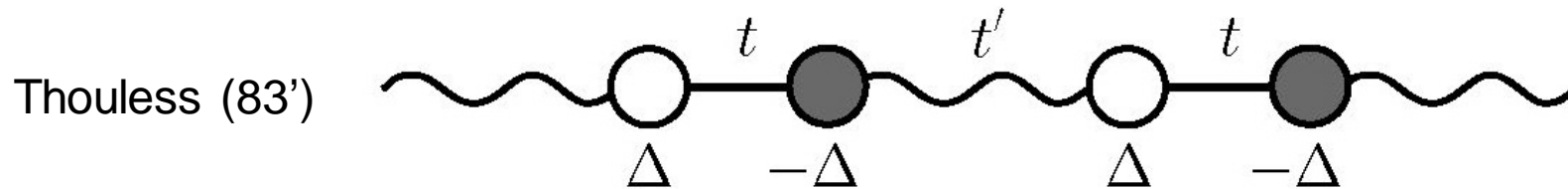


Particle returns to initial position after cycle



“Hopping pulse” strength  $\frac{JT}{4} \neq \frac{\pi}{2}$

# Quantized adiabatic charge pumping



$$\begin{aligned} t &= t_0 + \delta \\ t' &= t_0 - \delta \end{aligned}$$

How does this pump  
look in terms of  
Flouquet-Bloch bands?

# Periodically driven quantum systems

No ground state, energy conservation for driven system

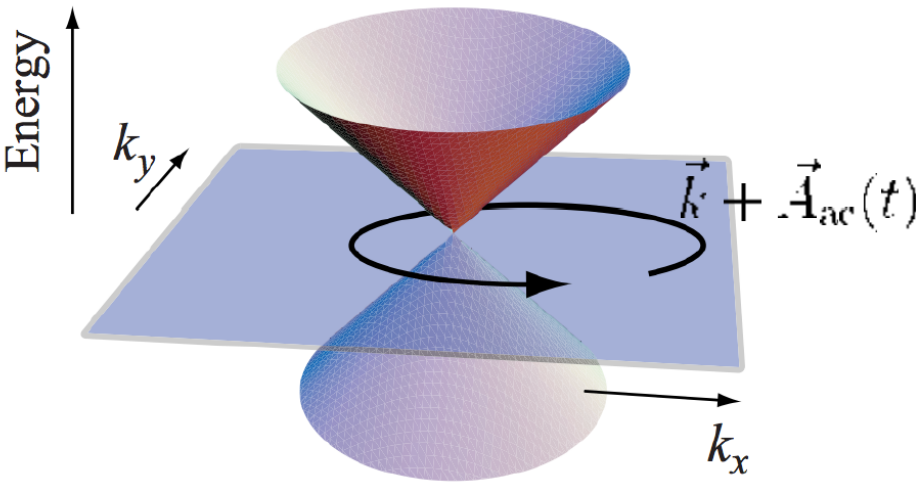
$$i \frac{d}{dt} |\psi\rangle = H(t) |\psi\rangle; \quad H(t + T) = H(t)$$

↑  
periodic driving



# Optical control of band topology discussed for various setups

## Circularly-polarized light opens Haldane gap in graphene



T. Oka and H. Aoki, Phys. Rev. B **79**, 081406 (2009).  
T. Kitagawa, et al., Phys. Rev. B **84**, 235108 (2011).  
Z. Gu et al., Phys. Rev. Lett. **107**, 216601 (2011).

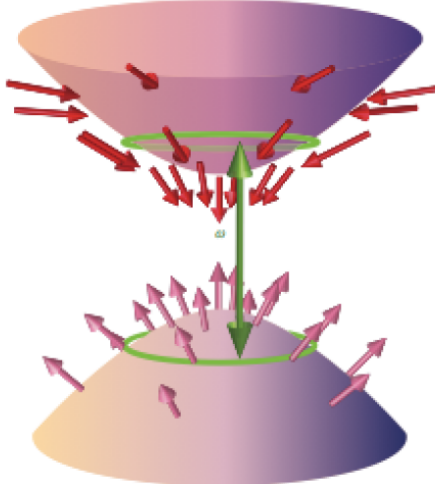
## Resonant driving used to create band inversion

ARTICLES  
PUBLISHED ONLINE: 13 MARCH 2011 | DOI:10.1038/NPHYS1926

nature  
physics

## Floquet topological insulator in semiconductor quantum wells

Netanel H. Lindner<sup>1,2\*</sup>, Gil Refael<sup>1,2</sup> and Victor Galitski<sup>3,4</sup>



N. Lindner, G. Refael, and V. Galitski, Nature Physics **7**, 490 (2011).